

Math 401 Fall 2018  
 Cryptology HW 4 Solutions  
 Due Thursday, September 27

1. Consider a cipher with three keys, three plaintexts, and four ciphertexts, given by:

$$\begin{array}{c|c|c|c} & m_1 & m_2 & m_3 \\ \hline k_1 & c_2 & c_4 & c_1 \\ k_2 & c_1 & c_3 & c_2 \\ k_3 & c_3 & c_1 & c_4 \end{array}$$

Suppose all keys are equally likely, and the messages have probability  $P(m_1) = 2/5$ ,  $P(m_2) = 2/5$ ,  $P(m_3) = 1/5$ .

- (a) What is the probability of each ciphertext?
- (b) Compute  $P(c_1|m_1)$ ,  $P(c_1|m_2)$ ,  $P(c_1|m_3)$ . Can you tell if the ciphertext has perfect secrecy?
- (c) Compute  $P(c_2|m_1)$ ,  $P(c_3|m_1)$ ,  $P(c_4|m_1)$ .
- (d) Compute  $P(k_1|c_3)$ ,  $P(k_2|c_3)$ ,  $P(k_3|c_3)$ .

**Solution:**

- (a)  $P(c_1) = 1/3$ ,  $P(c_2) = 1/5$ ,  $P(c_3) = 4/15$ ,  $P(c_4) = 1/3$ .
- (b)  $P(c_1|m_1) = 1/3$ ,  $P(c_1|m_2) = 1/3$ ,  $P(c_1|m_3) = 1/3$ .

This doesn't tell us if we have perfect secrecy. The probability of  $c_1$  overall is  $1/3$ , and the probability for each message is  $1/3$ . But it doesn't prove we *don't* have perfect security either, because we'd need to check for all  $c \in \mathcal{C}$  to be sure.

- (c)  $P(c_2|m_1) = 1/3$ ,  $P(c_3|m_1) = 1/3$ ,  $P(c_4|m_1) = 0$ . This shows that we don't have perfect secrecy, since the ciphertext constrains the message.
- (d)  $P(k_1|c_3) = 0$ ,  $P(k_2|c_3) = 1/2$ ,  $P(k_3|c_3) = 1/2$ .

2. Suppose  $\#\mathcal{M} = \#\mathcal{C}$ . Prove that for a fixed key  $k \in \mathcal{K}$  and a fixed ciphertext  $c \in \mathcal{C}$ , there is a unique plaintext  $m \in \mathcal{M}$  such that  $e(k, m) = c$ . (Hint: this is a counting argument using the fact that  $e_k$  is 1-1).

**Solution:** Fix  $k \in \mathcal{K}$ . Then the function  $e_k : \mathcal{M} \rightarrow \mathcal{C}$  is injective, and an injection from one finite set to another is a bijection. Thus  $e_k$  is a bijection and thus invertible, and for every  $c \in \mathcal{C}$  there is a unique  $e_k^{-1}(c)$ .

3. Let  $X$  be a random variable with possible outcomes  $x_1, \dots, x_n$ , and  $Y$  a random variable with possible outcomes  $y_1, \dots, y_m$ . Let  $Z$  be a random variable that corresponds to testing  $X$  followed by  $Y$ , so the possible outcomes are pairs  $(x_i, y_j)$  with  $P(x_i, y_j) = P(x_i)P(y_j)$ .

Use the definition of entropy to prove that  $H(Z) = H(X) + H(Y)$ . This is a special case of property 3 from Shannon's theorem.

**Solution:**

$$\begin{aligned}
 H(Z) &= \sum_{i=1}^n \sum_{j=1}^m p_i p_j \log_2(p_i p_j) \\
 &= \sum_{i=1}^n \sum_{j=1}^m p_i p_j (\log_2(p_i) + \log_2(p_j)) \\
 &= \sum_{i=1}^n \sum_{j=1}^m p_i p_j \log_2(p_i) + \sum_{i=1}^n \sum_{j=1}^m p_i p_j \log_2(p_j) \\
 &= \sum_{i=1}^n \left( p_i \log_2(p_i) \sum_{j=1}^m p_j \right) + \sum_{j=1}^m \left( p_j \log_2(p_j) \sum_{i=1}^n p_i \right) \\
 &= \sum_{i=1}^n (p_i \log_2(p_i) \cdot 1) + \sum_{j=1}^m (p_j \log_2(p_j) \cdot 1) \\
 &= H(X) + H(Y).
 \end{aligned}$$

**Definition 0.1.** The *Key Equivocation* of a cryptosystem is  $H(K|C) = H(K) + H(M) - H(C)$ . (There's a more complicated formula in terms of random variables, which I'm omitting here). It measures the amount of information about the key revealed by the ciphertext.

In particular, it tells us how much *more* information we get from the key if we already know the ciphertext. If it is low, knowing the ciphertext tells us a lot about the key. If it's zero, we can determine the key and message purely from the ciphertext.

4. Suppose we have a cryptosystem with two keys  $\mathcal{K} = \{k_1, k_2\}$  and three plaintext  $\mathcal{M} = \{m_1, m_2, m_3\}$ . Suppose the plaintexts have probabilities  $P(m_1) = 1/2, P(m_2) = P(m_3) = 1/4$ .
- Create an encryption function with three ciphertexts  $\mathcal{C} = \{c_1, c_2, c_3\}$ , such that  $P(c_1) = 1/2$ .
  - Compute  $H(K), H(M), H(C)$ .
  - Compute the equivocation  $H(K|C)$ .
  - How secure is this cipher?

**Solution:** There are many possible solutions here. I'll work through one.

(a)

$$\begin{array}{c} k_1 \\ k_2 \end{array} \left\| \begin{array}{c|c|c} m_1 & m_2 & m_3 \\ \hline c_2 & c_1 & c_1 \\ \hline c_1 & c_3 & c_3 \end{array} \right.$$

(b)

$$H(K) = - \left( \frac{1}{2} \log_2(1/2) + \frac{1}{2} \log_2(1/2) \right) = -(-1/2 - 1/2) = 1$$

$$H(M) = - \left( \frac{1}{2} \log_2(1/2) + \frac{1}{4} \log_2(1/4) + \frac{1}{4} \log_2(1/4) \right) = -(-1/2 - 1/2 - 1/2) = 3/2$$

$$H(C) = - \left( \frac{1}{2} \log_2(1/2) + \frac{1}{4} \log_2(1/4) + \frac{1}{4} \log_2(1/4) \right) = -(-1/2 - 1/2 - 1/2) = 3/2$$

(c)  $H(K|C) = H(K) + H(M) - H(C) = 1.$

(d) This is reasonably secure. If we already have the ciphertext, knowing the key gives us 1 bit of information; but in a vacuum, it's also true that knowing the key gives us 1 bit of information. So the ciphertext carries no information.

(For other choices of cryptosystem, the equivocation would be different. If the equivocation is higher, the

5. How does key equivocation relate to unicity distance?

**Solution:** Unicity distance is the message length at which you expect to have a 50% chance of completely decrypting the ciphertext without any additional info; so it's the distance where you expect the key equivocation to be close to zero. With much shorter messages the key equivocation will be high; with much longer messages, the key equivocation will be zero.

Note that the unicity distance isn't deterministic, so we can't say that the equivocation is zero any time the message length is over the unicity distance.

6. Compute the unicity distance for

(a) An autokey cipher

(b) A Hill cipher with a block size of 2. (Note: only count matrices that are valid keys!)

(c) A Hill cipher with a block size of 5.

**Solution:**

(a) If the key word has length  $N$  then there are  $26^N$  possible keys, which is  $4.7N$  bits of entropy. We divide by 3.2 to get a unicity distance of  $1.47N$ .

(b) There are  $26^4$  possible matrices, but only  $12/26$  of them will be invertible. So there are  $26^3 \cdot 12$  possible keys, which is 17.7 bits of entropy. We divide by 3.2 to get 5.5.

(Actually, this is wrong—there are actually somewhat fewer valid keys than this, and the true entropy of the keyspace is about 16.86 bit of entropy, so the unicity distance is about 5.268. But the previous answer is the one you would get using what I told you in class).

(c) There are  $26^{25}$  matrices and again only 12/26 will work. So there are  $26^{24} \cdot 12$  possible keys, which corresponds to about 116.4 bits of entropy. Dividing by 3.2 gives a unicity distance of 36.4.

(Again, this is wrong—the true entropy of the keys is about 114 bits, and the real unicity distance is about 35.718).