

# Divisor Methods

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## Discussion Question

- What number is halfway between 2 and 4?
- What number is halfway between 1 and 100?
- What number is halfway between  $\frac{1}{2}$  and  $\frac{1}{4}$ ?
- What does “halfway” mean?

# Jefferson's Method

## Definition (Jefferson's method)

- Choose a modified divisor  $d$
- Compute the modified quotas  $p_k/d$
- Round these down to obtain  $a_k = \lfloor p_k/d \rfloor$ .
- If  $a_1 + a_2 + \cdots + a_n = h$ , then we have the Jefferson apportionment.
- Otherwise, choose a new  $d$  and try again.

## Definition (Adams's method)

- Choose a modified divisor  $d$
- Compute the modified quotas  $p_k/d$
- Round these *up* to obtain  $a_k = \lceil p_k/d \rceil$ .
- If  $a_1 + a_2 + \cdots + a_n = h$ , then we have the Adams apportionment.
- Otherwise, choose a new  $d$  and try again.

# Splitting the difference: Webster's Method

- Jefferson's method rounds down, favors large states
- Adams's method rounds up, favors small states
- Split the difference and round normally?

## Definition (Webster's method)

- Choose a modified divisor  $d$
- Compute the modified quotas  $p_k/d$
- Round these to the nearest whole number to obtain  $a_k$ .
- We've been calling this "grade-school rounding"; a fancier name is **arithmetic rounding**.
- If  $a_1 + a_2 + \cdots + a_n = h$ , then we have the Webster apportionment.
- Otherwise, choose a new  $d$  and try again.

# Webster's Method

- In Jefferson's method,  $s$  is always too big.
- In Adams's method,  $s$  is always too small.
- In Webster's method, it could be too big, too small, or just right.
- Think about bigger *and* smaller critical divisors.

## Which divisors are critical?

- Whole numbers aren't the important ones
- Round down at 3.49 but up at 3.5
- Need to look at  $\frac{p_k}{2.5}$  and  $\frac{p_k}{3.5}$ , etc.
- Want to look at  $\frac{p_k}{m+1/2}$ .

# Webster's Method

## Example

Apportion  $h = 10$  seats to  $n = 3$  states with populations 3,300, 5,100, and 1,600, using the methods of Hamilton and Jefferson.

- Next Jefferson critical divisor:  $\frac{p_k}{\lfloor q_k \rfloor + 1} = \frac{p_k}{a_k + 1}$ .

		$s = 1,000$			Jefferson $d = 840$		
$k$	$p_k$	$q_k$	$\lfloor q_k \rfloor$	Ham	CD $\frac{p_k}{a_k+1}$	Jef $q$	Jef $a_k$
1	3,300	3.3	3	3	825	3.93	3
2	5,100	5.1	5	5	850	6.07	6
3	1,600	1.6	1	2	800	1.90	1

# Webster's Method

## Example

Apportion  $h = 10$  seats to  $n = 3$  states with populations 3,300, 5,100, and 1,600, using the method of Adams.

- Previous Adams critical divisor:  $\frac{p_k}{\lceil q_k \rceil - 1} = \frac{p_k}{a_k - 1}$ .

		$s = 1,000$			Adams $d = 1,150$		
$k$	$p_k$	$q_k$	$\lceil q_k \rceil$	Ham	CD $\frac{p_k}{a_k - 1}$	Adams $q$	Adams $a_k$
1	3,300	3.3	3	3	1100	2.86	3
2	5,100	5.1	5	5	1020	4.43	5
3	1,600	1.6	1	2	1600	1.39	2

# Webster's Method

## Example

Apportion  $h = 10$  seats to  $n = 3$  states with populations 3,300, 5,100, and 1,600, using the method of Webster.

- Previous Webster critical divisor:  $\frac{p_k}{a_k - 1/2}$
- Next Webster critical divisor:  $\frac{p_k}{a_k + 1/2}$

		$s = 1,000$			Webster $d = 1,000$			
$k$	$p_k$	$q_k$	$\lfloor q_k \rfloor$	Ham	$\frac{p_k}{a_k + 1/2}$	$\frac{p_k}{a_k - 1/2}$	$q$	$a_k$
1	3,300	3.3	3	3	943	1,320	3.3	3
2	5,100	5.1	5	5	927	1,133	5.1	5
3	1,600	1.6	1	2	640	1,067	1.6	2

# Webster's Method

## Example

Find the Webster apportionments when  $n = 4$ ,  $h = 10$ , with populations below:

		$s = 1,000$				Webster $d = 940$			
$k$	$p_k$	$q_k$	$\lfloor q_k \rfloor$	Ham	Web	$\frac{p_k}{a_k + 1/2}$	$\frac{p_k}{a_k - 1/2}$	$q$	$a_k$
1	1,200	1.2	1	1	1	800	2,400	1.28	1
2	1,400	1.4	1	2	1	933	2,800	1.49	1
3	2,200	2.2	2	2	2	880	1,467	2.34	2
4	5,200	5.2	5	5	5	945	1,156	5.53	6
	10,000		9	10	9				10

# Webster's Method

## Example

Find the Webster apportionments when  $n = 4$ ,  $h = 10$ , with populations below:

$p_k$	1,200	1400	2200	5,200
0.5	2,400	2,800	4,400	10,400
1.5	800	933	1467	3,467
2.5	480	560	880	2,080
3.5	343	400	629	1,486
4.5	267	311	489	1,155
5.5	218	255	400	945
6.5	185	215	338	800

# Divisor Methods So Far

## Divisor Methods

- Choose a modified divisor  $d$
  - Compute the modified quotas  $p_k/d$
  - Round them to get  $a_k$ .
  - If  $a_1 + a_2 + \cdots + a_n = h$ , we have an apportionment.
  - Otherwise, choose a new  $d$  and try again.
- 
- Jefferson rounds down, favors large states
  - Adams round up, favors small states
  - Webster rounds “normally”, splits the difference
    - Often the same as Hamilton, but not always!
  - Are there other options?

## Definition

A **rounding function** is a function that takes in a real number, outputs an integer, and has the following two properties:

1. If  $x$  is an integer, then  $f(x) = x$ .
2. If  $x > y$ , then  $f(x) \geq f(y)$ .

That is:

- Every integer rounds to itself
- Rounding will never take the bigger number and make it smaller.

## Definition

A **divisor method** is an apportionment method that works as follows.

- Choose a rounding function  $f$ .
- Choose a modified divisor  $d$
- Compute the modified quotas  $p_k/d$
- Round these according to our chosen rounding function to obtain  $a_k = f(p_k/d)$ .
- If  $a_1 + a_2 + \cdots + a_n = h$ , then we have our apportionment.
- Otherwise, choose a new  $d$  and try again.

## Rounding Functions

- Rounding down:  $\lfloor x \rfloor$  Jefferson
- Rounding up:  $\lceil x \rceil$  Adams
- “Grade school” or arithmetic rounding Webster

## Arithmetic rounding

- Find the number halfway between two integers
- Round up if you’re above it, and down if you’re below it
- But what do we mean by “half”?

## Discussion Question

- What number is halfway between 2 and 4?
- What number is halfway between 1 and 100?
- What number is halfway between  $\frac{1}{2}$  and  $\frac{1}{4}$ ?
- What does “halfway” mean?

# Averages

## Definition

The **arithmetic mean** of two numbers  $m$  and  $n$  is  $\frac{m+n}{2}$ .

## Definition

The **geometric mean** of two numbers  $m$  and  $n$  is  $\sqrt{mn}$ .

## Example

- The arithmetic mean of 2 and 4 is  $\frac{2+4}{2} = \frac{6}{2} = 3$
- The geometric mean of 2 and 4 is  $\sqrt{2 \cdot 4} = \sqrt{8} = 2.83$
- Both of these are halfway between 2 and 4, for some definition of “halfway”.

# Geometric Averages

## Example

- The arithmetic mean of 1 and 100 is  $\frac{100+1}{2} = \frac{101}{2} = 50.5$
- The geometric mean of 1 and 100 is  $\sqrt{1 \cdot 100} = \sqrt{100} = 10$
- Both of these are halfway between 1 and 100, for some definition of “halfway”.

## Geometric mean

- Good when we want to think about ratios
- Good when numbers are very different sizes from each other

# Geometric Rounding

0 to 1	1 to 2	2 to 3	3 to 4	4 to 5	5 to 6	6 to 7	7 to 8
$\sqrt{0}$	$\sqrt{2}$	$\sqrt{6}$	$\sqrt{12}$	$\sqrt{20}$	$\sqrt{30}$	$\sqrt{42}$	$\sqrt{56}$
0	1.414	2.449	3.464	4.472	5.477	6.481	7.483

## Definition

- If a number is between  $m$  and  $m + 1$ , we can **round geometrically** by rounding it up if it's above the geometric mean  $\sqrt{m(m + 1)}$ , and down if it's below the geometric mean.
- Useful cutoffs are given in the table above.

## Definition

**Hill's method** is the apportionment method that works as follows.

- Choose a modified divisor  $d$
- Compute the modified quotas  $p_k/d$
- Round these modified quotas geometrically to the geometrically-nearest integer to obtain  $a_k$ .
- If  $a_1 + a_2 + \cdots + a_n = h$ , then we have our apportionment.
- Otherwise, choose a new  $d$  and try again.

## Joseph Hill

- Chief statistician at the Census Bureau (1909–1921)
- Hill's method adopted by Congress in 1941
- We still use it today

# The Harmonic Mean

## Discussion Question

- What number is halfway between  $\frac{1}{2}$  and  $\frac{1}{4}$ ?
- Arithmetic:  $\frac{1/2+1/4}{2} = \frac{3/4}{2} = \frac{3}{8} = 0.375$
- Geometric:  $\sqrt{(1/2)(1/4)} = \sqrt{1/8} = \frac{\sqrt{2}}{4} \approx 0.3535$
- Intuitively nice-feeling:  $1/3 \approx 0.333$ .

# The Harmonic Mean

## Definition

- The **harmonic mean** of two numbers is the reciprocal of the average of their reciprocals.
- The harmonic mean of  $x$  and  $y$  is  $\frac{1}{\left(\frac{1/x+1/y}{2}\right)}$
- Formula looks awful but it's doing something useful.

## Example

The harmonic mean of  $1/2$  and  $1/4$  is  $\frac{1}{\left(\frac{2+4}{2}\right)} = \frac{1}{3}$ .

## A nicer-looking formula

$$\frac{1}{\left(\frac{1/x+1/y}{2}\right)} = \frac{2}{\frac{1}{x} + \frac{1}{y}} = \frac{2}{\frac{y+x}{xy}} = \frac{2xy}{x+y}.$$

# Harmonic Rounding

0 to 1	1 to 2	2 to 3	3 to 4	4 to 5	5 to 6	6 to 7	7 to 8
$\frac{0}{1}$	$\frac{4}{3}$	$\frac{12}{5}$	$\frac{24}{7}$	$\frac{40}{9}$	$\frac{60}{11}$	$\frac{84}{13}$	$\frac{112}{15}$
0	1.333	2.400	3.429	4.444	5.455	6.462	7.467

## Definition

- If a number is between  $m$  and  $m + 1$ , we can **round harmonically** by rounding it up if it's above the harmonic mean  $\frac{2m(m+1)}{2m+1}$ , and down if it's below the harmonic mean.
- Useful cutoffs are given in the table above.

# Dean's Method

## Definition

**Dean's method** is the apportionment method that works as follows.

- Choose a modified divisor  $d$
  - Compute the modified quotas  $p_k/d$
  - Round these modified quotas harmonically to the harmonically-nearest integer to obtain  $a_k$ .
  - If  $a_1 + a_2 + \cdots + a_n = h$ , then we have our apportionment.
  - Otherwise, choose a new  $d$  and try again.
- 
- James Dean was a math professor at the University of Vermont in the early 1800s
  - Dean's method was considered in 1830, but never used.

# Comparison of rounding methods

	Rounding Function and Method				
	Round Up	Harmonic	Geometric	Arithmetic	Round Down
	Adams	Dean	Hill	Webster	Jefferson
0-1	0	0	0	0.5	1
1-2	1	1.333	1.414	1.5	2
2-3	2	2.400	2.449	2.5	3
3-4	3	3.429	3.464	3.5	4
4-5	4	4.444	4.472	4.5	5
5-6	5	5.455	5.477	5.5	6
6-7	6	6.462	6.481	6.5	7
7-8	7	7.467	7.484	7.5	8

# Webster, Dean, and Hill

## Example

Apportion  $h = 10$  seats to  $n = 3$  states with populations 1,385, 2,390, and 6,225, using Hamilton, Webster, Hill, and Dean.

		$s = 1,000$			$d = 1,000$			
$k$	$p_k$	$q_k$	$\lfloor q_k \rfloor$	Ham	Quota	Webster	Hill	Dean
1	1,385	1.385	1	1	1.385	1	1	2
2	2,390	2.390	2	3	2.390	2	2	2
3	6,225	6.225	6	6	6.225	6	6	6
	10,000		9	10		9	9	10 ✓